### Survey and analysis of patient safety culture in a county hospital

Xingxing Zhao<sup>1</sup>, Weiwei Liu<sup>1</sup>, Yuanyuan Wang<sup>1</sup>, Li Zhang<sup>2</sup>

### Abstract

**Objective:** This study aimed to survey patient safety culture in a county hospital and to provide evidence for strategies to improve patient safety culture.

**Methods:** Nine hundred and thirty-two medical staff in a county hospital were surveyed with use of the Hospital Survey on Patient Safety Culture. Information was analyzed by one-way ANO-VA and multiple linear regression analysis.

**Results:** Nine hundred and thirty-two questionnaires were distributed, of which 661 of those returned were valid. The subscale-level results showed that the positive response rate for "team-work across units" was higher than 75.0%, indicating it was an area of strength. Five areas – "non-punitive response to error," "staffing," "communication openness," "overall perceptions of patient safety," and "frequency of event reporting" – had potential for improvement, with a positive responsive rate lower than 50%. Twenty-nine percent of respondents gave their work area a patient safety grade of "excellent" or "very good." Further, 60.1% of respondents had reported no event in the previous 12 months. Multiple linear regression analysis indicated that position and number of years working in this hospital were the factors influencing patient safety culture.

**Conclusion:** Patient safety culture in the county hospital has potential for improvement, especially in the areas of "nonpunitive response to error," "staffing," "overall perceptions of patient safety," "communication openness," and "frequency of event reporting."

**Statement of Significance:** It has been recognized for almost 20 years that safety culture is important in ensuring high-quality and safe care. This article describes the results of a patient safety culture survey undertaken in one Chinese county hospital, which distributed the Hospital Survey on Patient Safety Culture to 932 health care staff. It reaffirms that there is still a long way to go until hospitals have successfully established positive safety cultures. In terms of relevance, we believe the findings will be most useful to the hospital where the study was undertaken. Many of the recommendations in the discussion should be useful for the hospital.

Keywords: Patient safety; safety culture; primary health care

### Introduction

Patient safety is a serious global public health issue and one of the most important parts of health care. According to the World Health Organization, one in ten patients may be harmed when receiving hospital care, and about half of these injuries are thought to be preventable [1], and 14 in every 100 patients admitted have been affected by hospital infections [2]. The report *To Err Is Human* published by the Institute of Medicine [3] in 1999 highlighted the role of developing the patient safety

 The Second Outpatient Department, Peking University Third Hospital, Beijing 100096, China
Peking University Third Hospital Yanqing Hospital, Beijing 102100, China

CORRESPONDING AUTHOR: Xingxing Zhao

The Second Outpatient Department, Peking University Third Hospital, Beijing 100096, China E-mail: zhaoxx\_2014@163.com

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culture of hospitals in building a safer health system. Patient safety culture was first determined by Singer et al. [4]; it refers to "the product of individual and group values, attitudes, competencies and patterns of behavior that determine the commitment to, and the style and proficiency of, an organization's health and safety programs." Related research showed that positive patient safety culture can improve patient outcomes, such as readmission rate reduction and hospital infection rate decrease [5, 6]. Patient safety culture is a strategy for improving patient safety.

Many studies on patient safety culture have been conducted since then, with focuses on developing assessment tools, patient safety culture assessment, and influential factors. The Hospital Survey on Patient Safety Culture (HSOPSC) developed by the Agency for Healthcare Research and Quality (AHRQ) in 2004 has been widely used around the world [7], as have the Safety Attitudes Questionnaire developed by the University of Texas in 2000 [8], the Patient Safety Climate in Healthcare Organizations developed by Singer et al. [9] in 2003, and the Manchester Patient Safety Framework [10]. Interventions such as executive walk rounds or interdisciplinary rounds, multicomponent unit-based interventions, team training, and communication initiatives have been confirmed as positive for patient safety culture improvement [11, 12].

Studies on patient safety culture started late in China, but their number has been growing fast recently [12, 13]. However, little attention has been devoted to patient safety culture of the primary health care system, and most studies on patient safety culture were conducted in large hospitals [13]. According to The Rural Health Service System Construction and Development Planning published by China's Ministry of Health, a second-class comprehensive hospital, such as the county hospital - the head of the primary health care system in China - is responsible for training and technical guidance in primary health care. The primary health care system has also been emphasized in health care reform in the 13th Five-Year Plan of the Chinese government [14]. Studies showed that patient safety was a weak point in primary health institutions, which is also an impediment for improvement of the capabilities of primary health care services [15]. The main objective of this study was to use the HSOPSC to survey patient safety culture in one county hospital in Beijing, from which

the strengths and weaknesses of patient safety culture in this hospital could be determined.

### Methods

### Participants and data collection

The data in this study were obtained from the survey conducted in a county hospital in Beijing from July to December 2014. The survey encompassed all health care workers (physicians, nurses, and allied health professionals) in the county hospital. Questionnaires were distributed by the Department of Science and Education of the hospital. To protect the privacy of respondents, the survey was strictly anonymous. Health care workers who completed and submitted the questionnaires were considered to agree to participate in the survey. Nine hundred thirty-two questionnaires were administered, of which 680 were answered, the response rate being 73.0%. From these, questionnaires with data missing (missing value  $\geq 20\%$ ), filled out incorrectly, or not submitted in the effective period were excluded, and the final sample consisted of 661 participants.

### The questionnaire

The HSOPSC instrument developed by the AHRQ was used in this study [7]. The HSOPSC has been widely used to assess patient safety culture in a number of countries [16]. It was translated into Chinese by one translator with a background in safety research and reviewed by a task group. The HSOPSC has been used in many hospitals in China, and the reliability expressed as Cronbach's  $\alpha$  for a study in Taiwan ranged from 0.51 to 0.84 [17], whereas Cronbach's  $\alpha$  was 0.889 for a study on the Chinese mainland. It includes 42 items that measure 12 subdimensions of patient safety culture, two items that measure patient safety culture outcome, and seven items that survey demographic characteristics of the participants.

The subdimensions of patient safety culture consisted of (1) communication openness, (2) feedback and communication about errors, (3) frequency of event reporting, (4) hospital hand-offs and transitions, (5) hospital management support for patient safety, (6) nonpunitive response to error, (7) organizational learning – continuous improvement, (8) overall perceptions of safety, (9) staffing, (10) supervisor/manager expectations and

actions promoting safety, (11) teamwork across hospital units, and (12) teamwork within hospital units. Each subscale consists of three or four questions. Each item uses a Likert scale of five-point response options to represent the degree of agreement (1 for strongly disagree to 5 for strongly agree) or frequency (1 for never to 5 for always), and negatively worded items were reversely scored. For each subdimension the proportion of positive responses (percent positive score) was calculated for every participant on the basis of the AHRO instructions, and it ranged from 0 to 1. The patient safety strength of the hospital is defined as those dimensions with more than 75.0% of respondents answering "strongly agree"/"agree" or "always"/"most of the time." Areas needing improvement were identified as those dimensions for which 50.0% of respondents or fewer did not answer positively. A composite score was calculated for each respondent, relative to each of the 12 safety culture dimensions, and it ranged from 1.0 to 5.0. Higher scores indicate a more positive patient safety culture.

Questions related to the patient safety culture outcome measures included a question on patient safety grade that asked participants to provide an overall grade on patient safety in their respective departments ("excellent," "very good," "acceptable," "fair," and "failing") and a question on the number of events that asked participants to provide the number of events they had reported during the previous 12 months (1 for no events to 5 for 21 or more events).

The demographic characteristics included age, sex, profession, educational background, direct interaction with patients, number of years in the hospital, and number of hours of work per week.

### Data processing

This study used Epidata 3.0 for data entry, and SPSS for Windows version 16.0 to perform the statistical analysis. We obtained descriptive statistics on the demographic characteristics of participants, and the percentage of positive responses for HSOPSC dimensions. The percentage of respondents who gave their work area/unit a patient safety grade and the number of error reports generated during the previous 12 months were also summarized.

The relationship between demographic factors and patient safety culture outcome measures (including a patient safety

grade and the number of events reported during the previous 12 months) was examined by one-way ANOVA.

The relationship between demographic factors and patient safety culture (total score and 12 dimension scores) was examined by one-way ANOVA and multiple linear regression analysis. The multivariate regression analysis adopted a stepwise approach using entrance/exit tolerance of 0.05/0.10.

### Ethical concerns

The survey was approved by the Health Science Ethic Committee of Peking University Third Hospital.

### Results

#### Respondent characteristics

Among the 661 questionnaires, 32.2% of the respondents were physicians, 46.0% were nurses, and the remainder were other health care workers. Most of the respondents (72.5%) were female, and more than half were younger than 35 years (53.4%). Most of the respondents (86.5%) had direct interaction with patients. Only 19.2% of the respondents had worked in the hospital for more than 10 years, while 30.3% had worked there for less than 5 years. About 90% of the respondents worked more than 40 h per week.

### Overall safety culture and influencing factors

The percentage of positive responses to all dimensions ranged from 15.7% to 79.2% (see Table 1). The highest positive response rate was for "teamwork across hospital units" (79.2%), which was also the only strength area of this hospital (positive response rate >75.0%). The five lowest positive response rates were for "overall perceptions of safety" (45.0%), "frequency of event reporting" (43.0%), "communication openness" (27.4%), "staffing" (25.9%), and "nonpunitive response to error" (15.7%), all of which were areas needing improvement.

ANOVA indicated that the overall mean score of patient safety culture varied for different positions, educational background, and sex (P<0.05). There was also a significant difference in profession, educational background, and direct interaction with patients across multiple dimensions (see Table 2).



Table 1. Distribution of patient safety culture dimension positive responses

Patient safety culture dimensions	Positive response (%)	Ranking
Teamwork within hospital units	79.2	1
Organizational learning – continuous improvement	71.1	2
Supervisor/manager expectations and actions promoting safety	67.6	3
Teamwork across hospital units	58.2	4
Hospital handoffs and transitions	57.0	5
Hospital management support for patient safety	54.6	6
Feedback and communication about error	50.5	7
Overall perceptions of safety	45.0	8
Frequency of event reporting	43.0	9
Communication openness	27.4	10
Staffing	25.9	11
Nonpunitive response to error	15.7	12

In the multivariate regression analysis, the dependent variables were the mean scores for each of the HSOPSC dimensions and the overall mean score. Demographic factors were tested as independent variables. Sex and direct interaction with patients were entered as a dichotomous variable, with male and direct interaction with patients, respectively, as reference categories. Profession was entered as a dummy variable, with other positions (other than physicians and nurses) as reference categories. Age, sex, number of years in the hospital, and number of hours of work per week were entered as classification variables (see assignment in Table 3). The 12 dimensions were entered in the model as continuous variables. The results of multivariate regression analysis are shown in Table 4. Multivariate regression analysis indicated position was the influencing factor for the patient safety culture overall mean score, and physicians were associated with a lower patient safety culture overall mean score than nurses and other health care workers. For "communication openness," a greater number of years working in the hospital was associated with a low score. For "frequency of event reporting," position and sex were influencing factors. Men had a higher score than women, and physicians and nurses had higher scores than other health care workers. More years working in the hospital and being a physician or a nurse were associated with a lower score for "nonpunitive response to error." For "overall perceptions of safety," position and sex were influencing factors. Women had a higher score than men, and physicians and nurses had

a lower score than other health care workers. Older age, more years working in the hospital, more hours of work per week, and being a physician or a nurse were associated with a lower "staffing" score.

### Patient safety grade and influencing factors

Six hundred staff (90.1%) assessed the overall patient safety. Twenty-nine percent of the respondents assessed patient safety as excellent or very good, 53.4% as acceptable, and 8.3% as failing or poor. Further analysis was conducted with the  $\chi^2$  test and Fisher exact test to indicate possible influencing factors. Statistical analysis showed that there was a significant difference in ages, positions, years worked in hospital and whether there had been direct contact with patients (*P*<0.05) (see Table 5).

### Number of events reported and influencing factors

Six hundred twenty-seven health care workers (94.9%) answered this item: 60.1% of the respondents had not reported at least one adverse event or near-miss event during the previous 12 months, 20.6% of the respondents had reported one or two events, whereas only 2.7% of the respondents had reported more than ten events.  $\chi^2$  test and Fisher exact test showed that the number of events reported during the previous 12 months differed for different positions, gender, years worked in hospital and whether there had been direct contact with patients (*P*<0.05) (see Table 5).

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Characteristics	Overall mean score	Dimension 1	Dimension 2	Dimension 3	Dimension 4	Dimension 5	Dimension 6	Dimension 7	Dimension 8	Dimension 9	Dimension 10	Dimension 11	Dimensior 12
Age (years)	F=0.613	F=4.472	F=0.021	F=2.435	F=0.935	F=1.047	F=2.102	F=0.131	F=0.550	F=3.784	F=0.572	F=0.820	F=0.900
	P=0.542	P=0.012	P=0.979	P=0.088	P=0.378	P=0.352	P=0.123	P=0.878	P=0.557	P=0.023	P=0.564	P=0.441	P=0.407
<35	3.29 (0.38)	2.96 (0.59)	3.54 (0.82)	3.39 (0.94)	3.36 (0.63)	3.32 (0.62)	2.45 (0.61)	3.68 (0.53)	3.19 (0.52)	2.59 (0.71)	3.67 (0.54)	3.86 (0.67)	3.40 (0.63)
35-54	3.26 (0.38)	2.86 (0.58)	3.53 (0.83)	3.23 (0.96)	3.39 (0.63)	3.39 (0.59)	2.37 (0.60)	3.66 (0.46)	3.19 (0.52)	2.58 (0.69)	3.64 (0.52)	3.82 (0.54)	3.45 (0.58)
>54	3.34 (0.29)	3.21 (0.55)	3.57 (0.84)	3.12 (0.98)	3.58 (0.45)	3.37 (0.41)	2.62 (0.87)	3.69 (0.42)	3.06 (0.50)	3.06 (0.97)	3.57 (0.55)	3.71 (0.46)	3.54 (0.48)
Sex	T=-2.684	T = -0.877	T = -2.164	T=-0.583	T=-1.668	T=-1.658	T=-0.451	T=-0.668	T=-4.427	T=0.601	T=-4.256	T=-2.498	T=-1.796
	P=0.007	P=0.381	P=0.031	P=0.560	<i>P</i> =0.096	P=0.098	P=0.652	P=0.504	P < 0.001	P=0.548	P < 0.001	P=0.013	P=0.073
Male	3.20 (0.39)	2.88 (0.62)	3.40 (0.90)	3.27 (0.91)	3.19 (0.68)	3.28 (0.62)	2.40 (0.63)	3.65 (0.56)	3.01 (0.48)	2.61 (0.65)	3.49 (0.54)	3.72 (0.66)	3.35 (0.61)
Female	3.29 (0.37)	2.93 (0.58)	3.57 (0.80)	3.32 (0.97)	3.39 (0.63)	3.37 (0.59)	2.42 (0.61)	3.68 (0.49)	3.22 (0.51)	2.57 (0.71)	3.70 (0.52)	3.87 (0.59)	3.45 (0.60)
Position	F=9.341	F=0.175	F=1.384	F=16.796	F=15.109	F=9.444	F=4.512	F=2.714	F=11.922	F=9.460	F=5.419	F=1.178	F=12.392
	P < 0.001	P=0.840	P=0.251	P < 0.001	P < 0.001	P < 0.001	P=0.011	P=0.067	P < 0.001	P < 0.001	P=0.005	P=0.309	P < 0.001
Physicians	3.18 (0.39)	2.91 (0.63)	3.51 (0.85)	3.21 (0.97)	3.20 (0.69)	3.25 (0.60)	2.34 (0.59)	3.61 (0.51)	3.06 (0.51)	2.38 (0.68)	3.56 (0.52)	3.78 (0.56)	3.30 (0.60)
Nurses	3.31 (0.39)	2.94 (0.58)	3.60 (0.84)	3.52 (0.95)	3.42 (0.61)	3.34 (0.62)	2.41 (0.64)	3.71 (0.48)	3.20 (0.51)	2.58 (0.72)	3.71 (0.52)	3.86 (0.65)	3.44 (0.62)
Others	3.33 (0.31)	2.92 (0.55)	3.48 (0.79)	3.00 (0.81)	3.56 (0.51)	3.53 (0.52)	2.55 (0.55)	3.67 (0.54)	3.33 (0.49)	2.82 (0.68)	3.65 (0.55)	3.85 (0.61)	3.62 (0.51)
Direct	T=-1.215	T=-0.956	T=0.801	T=2.406	T = -0.474	T=-2.075	T=-2.769	T=0.477	T=-2.044	T=-2.688	T=0.050	T=-0.951	T=-2.317
interaction with	P=0.225	P=0.339	P=0.423	P=0.016	P=0.636	P=0.038	P=0.006	P=0.633	P=0.041	P=0.007	P=0.960	P=0.342	<i>P</i> =0.021
patients													
Yes	3.27 (0.38)	2.92 (0.60)	3.56 (0.84)	3.35 (0.95)	3.37 (0.64)	3.33 (0.60)	2.39 (0.62)	3.67 (0.51)	3.17 (0.52)	2.57 (0.72)	3.65 (0.53)	3.83 (0.61)	3.41 (0.61)
No	3.33 (0.32)	2.99 (0.49)	3.48 (0.74)	3.05 (0.95)	3.40 (0.65)	3.50 (0.57)	2.62 (0.54)	3.64 (0.48)	3.31 (0.46)	2.83 (0.62)	3.65 (0.54)	3.91 (0.55)	3.59 (0.54)
Education	F=3.093	F=2.362	F=0.334	F=0.407	F=14.764	F=3.352	F=0.801	F=0.118	F=0.831	F=5.184	F=0.235	F=0.055	F=9.353
background	P=0.046	P=0.095	P=0.716	P=0.666	P < 0.001	P=0.036	P=0.449	P=0.889	P=0.436	P=0.006	P=0.791	P=0.946	P < 0.001
High school	3.36 (0.29)	3.14 (0.59)	3.44 (0.82)	3.18 (0.86)	3.68 (0.45)	3.53 (0.52)	2.43 (0.63)	3.69 (0.45)	3.20 (0.47)	2.72 (0.79)	3.71 (0.42)	3.85 (0.35)	3.74 (0.42)
level or below													
Diploma level	3.31 (0.38)	2.94 (0.57)	3.55 (0.84)	3.30 (0.95)	3.50 (0.53)	3.40 (0.59)	2.45 (0.59)	3.68 (0.52)	3.17 (0.52)	2.70 (0.73)	3.65 (0.55)	3.84 (0.66)	3.50 (0.59)
Baccalaureate	3.24 (0.38)	2.91 (0.60)	3.56 (0.81)	3.33 (0.96)	3.27 (0.69)	3.31 (0.61)	2.39 (0.62)	3.66 (0.50)	3.19 (0.51)	2.52 (0.58)	3.65 (0.53)	3.83 (0.60)	3.35 (0.61)
or above													
Years working	F=1.397	F=2.899	F=0.534	F=1.530	F=1.745	F=0.231	F=5.247	F=0.245	F=0.835	F=5.790	F=0.072	F=1.332	F=0141
in hospital	P=0.248	P=0.056	P=0.587	P=0.217	P=0.175	P=0.794	P=0.005	P=0.783	P=0.434	P=0.003	P=0.930	P=0.267	P=0.868
N5	3.31 (0.40)	2.98 (0.62)	3.50 (0.87)	3.37 (0.97)	3.44 (0.58)	3.37 (0.62)	2.53 (0.59)	3.68 (0.52)	3.22 (0.52)	2.74 (0.70)	3.64 (0.56)	3.83 (0.72)	3.43 (0.60)
6-10	3.27 (0.36)	2.99 (0.53)	3.57 (0.75)	3.36 (0.97)	3.31 (0.68)	3.34 (0.62)	2.37 (0.59)	3.65 (0.53)	3.17 (0.47)	2.53 (0.72)	3.64 (0.52)	3.91 (0.60)	3.41 (0.64)
>10	3.25 (0.37)	2.87 (0.60)	3.57 (0.83)	3.24 (0.96)	3.37 (0.64)	3.34 (0.58)	2.36 (0.63)	3.68 (0.49)	3.17 (0.53)	2.54 (0.70)	3.66 (0.52)	3.81 (0.53)	3.44 (0.59)
Hours of work	F=1.246	F=0.058	F=0.819	F=1.926	F=0.201	F=3.460	F=0.215	F=1.140	F=1.157	F=5.572	F=1.897	F=0.368	F=2.892
per week	P=0.288	P=0.944	P=0.441	P=0.147	P=0.818	P=0.032	P=0.807	P=0.321	P=0.209	P=0.004	P=0.151	<i>P</i> =0.692	P=0.056
<40	3.33 (0.36)	2.93 (0.53)	3.52 (0.91)	3.56 (0.97)	3.41 (0.50)	3.55 (3.59)	2.43 (0.54)	3.76 (0.50)	3.17 (0.46)	2.87 (0.60)	3.52 (0.58)	3.88 (0.64)	3.37 (0.56)
40-100	3.27 (0.38)	2.93 (0.59)	3.55 (0.82)	3.30 (0.95)	3.37 (0.64)	3.33 (0.60)	2.41 (0.62)	3.67 (0.50)	3.19 (0.52)	2.58 (0.71)	3.66 (0.53)	3.83 (0.61)	3.44 (0.61)
>100	3.17 (0.27)	2.88 (0.70)	3.29 (0.73)	3.24 (0.91)	3.29 (0.63)	3.27 (0.44)	2.51 (0.59)	3.57 (0.63)	2.97 (0.51)	2.34 (0.68)	3.60 (0.49)	3.93 (0.52)	3.10 (0.44)

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Independent	Assignment
variables	Assignment
Valiables	
Age	<35 years=1, 35–54 years=2, >54 years=3
Sex	Male=1, Female=2
Position	Dummy variables
	Others (reference categories): X1=0, X2=0
	Physicians: X1=1, X2=0
	Nurses: X1=0, X2=1
Direct interaction	Yes=1, No=0
with patients	
Education	High school or below=1, diploma=2,
background	baccalaureate or above=3
Years working in	≤5=1, 6-10=2, >10=3
hospital	
Hours of work	<40=1, 40-100=2, >100=3
per week	

### Discussion

### Patient safety and patient safety culture in a county hospital in China need to be improved

Twenty-nine percent of the respondents were assessed as "good" or "excellent" in this study, meanwhile, 54.4% of the health care workers assessed patient safety as "good" or "excellent" in a survey performed in six public second-class comprehensive hospitals in Hangzhou in 2015 by Zhang [18]. Seventy-one percent of the health care workers in third-class comprehensive hospitals in Beijing assessed patient safety as "good" or "excellent" in a survey performed by Liang [19] in 2014. Among health care workers in Anhui province, 66.2% of whom assessed patient safety as "good" or "excellent" in a survey performed by Lu et al. [20]. In addition, a study performed by Tabrizchi and Sedaghat [21] in 2012 regarding Iranian primary health centers showed that 67% of the respondents graded patient safety as "good" or "excellent," while the rate was 70.8% in the United States and 44.6% in Japan [22].

With regard to areas of strength and areas needing improvement, our study identified one area of strength ("teamwork across hospital units") and five areas needing improvement in this county hospital, while a study on public second-class comprehensive hospitals in Hangzhou defied two areas of strength and four areas in need of improvement [18], and Chen and Li [23] identified three areas of strength and three areas needing improvement in 42 teaching hospitals in Taiwan. In other countries or regions around the world, the study by Tabrizchi and Sedaghat [21] showed that an Iranian primary health center had two areas of strength areas and three needing improvement [21]. According to an investigation by Danielsson et al. [24] involving all Swedish hospitals, there was no area of strength area of patient safety in Swedish hospitals, while there was only one area needing improvement.

From the preceding discussion, a conclusion can be reached that patient safety and patient safety culture in the county hospital investigated need to be improved overall, especially in comparison with other second-class and third-class comprehensive hospitals in China and hospitals around the world. It should not be ignored that such a comparison has to be made with caution, and a better comparison would be achieved with the same hospital over a longer time.

### Areas of strength

Our results show that "teamwork across hospital units" was the only area of strength of patient safety culture in this county hospital, whereas previous studies found that the positive response rate for this dimension was not higher than 75.0% [20], even needing improvement in a third-class comprehensive hospital [25]. This may be related to the size of this county hospital, which may be advantageous in unit management. At the same time, in this hospital, staff members across units are urged to develop and maintain friendly relationships and teamwork.

### Areas needing improvement

Four of five areas needing improvement (overall perceptions of safety, frequency of event reporting, communication openness, staffing, and nonpunitive response to error) are the same as those in most previous studies around the world [20, 22, 26–28]. The area needing the least improvement is "overall perceptions of safety" (positive response rate of 45.0%), which indicates that patient safety culture perceptions of health care workers in this county hospital need to be improved. This result is similar to that in the study performed by Hao [29] in a county hospital in Beijing in 2013, but different from those

### Table 4. Multiple linear regression results for patient safety culture

Dependent variables	Independent variables	F	Partial reg	ression co	oefficient	Standard	$R^2$	$R^2_{adj}$
		β	SE	t	P	coefficient		
Overall mean score	Position (vs. others)							
	Physician	-0.138	0.033	-4.159	< 0.001	-0.169	0.029	0.027
Communication openness	Years working in hospital	-0.058	0.028	-2.104	0.036	-0.086	0.007	0.006
Frequency of event reporting	Sex (female vs. male)	-0.212	0.104	-2.036	0.042	-0.094	0.057	0.052
	Position (vs. others)							
	Nurse	0.607	0.108	5.630	< 0.001	0.318		
	Physician	0.203	0.109	1.870	0.062	0.099		
Hospital handoffs and transitions	Education background	-0.165	0.047	-3.504	< 0.001	-0.153	0.060	0.057
	Position (vs. others)							
	Physician	-0.190	0.060	-3.168	0.002	-0.139		
Hospital management support for	Years working in hospital	-0.163	0.076	-2.133	0.033	0.087	0.036	0.031
patient safety	Position (vs. others)							
	Physician	-0.267	0.070	-3.830	< 0.001	0.206		
	Nurse	-0.178	0.064	-2.758	0.006	0.147		
Nonpunitive response To error	Years working in hospital	-0.073	0.029	-2.503	0.013	-0.103	0.025	0.020
	Position (vs. others)							
	Physician	-0.205	0.072	-2.861	0.004	-0.154		
	Nurse	-0.151	0.067	-2.260	0.024	-0.121		
Overall perceptions of safety	Sex (female vs. male)	0.211	0.056	3.781	< 0.001	0.173	0.063	0.058
	Position (vs. others)							
	Physician	-0.265	0.058	-4.557	< 0.001	-0.240		
	Nurse	-0.194	0.058	-3.368	0.001	-0.189		
Staffing	Hours of work per week	-0.179	0.088	-2.037	0.042	-0.083	0.079	0.071
	Position (vs. others)							
	Physician	-0.363	0.080	-4.546	< 0.001	-0.242		
	Nurse	-0.166	0.075	-2.225	0.026	-0.119		
	Years working in hospital	-0.203	0.044	-4.648	< 0.001	-0.253		
	Age	0.279	0.075	3.740	< 0.001	0.214		
Supervisor/manager expectations	Sex (female vs. male)	0.177	0.055	3.193	0.001	0.139	0.033	0.029
and actions promoting safety	Position (vs. others)							
	Physician	-0.087	0.050	-1.748	0.081	-0.076		
Teamwork within hospital units	Sex (female vs. male)	0.139	0.060	2.344	0.019	0.096	0.009	0.008
Teamwork across hospital units	Education background	-0.124	0.045	-2.723	0.007	-0.120	0.044	0.039
-	Position (vs. others)							
	Physician	-0.248	0.072	-3.429	0.001	-0.190		
	Nurse	-0.178	0.064	-2.755	0.006	-0.146		

of most other studies performed in third-class comprehensive hospitals in China, where it was an area of strength [20]. Only clinicians and allied health professionals with good perceptions of patient safety can be aware of the importance of patient safety culture improvement, and then promote patient safety and quality.

Patient safety culture education is considered to be an important intervention in improving patient safety and patient

Characteristics			н	<sup>o</sup> atient saf	ety grade			Numbe	r of events	s reported
	Excellent or very good	Acceptable	Failing or poor	χ²	<i>P</i> -value	None	One or two	Three or more	χ²	P-value
Age (years)										
<35	101 (29.4%)	208 (60.4%)	35 (10.2%)	I	0.031	241 (66.8%)	75 (20.8%)	45 (12.4%)	I	0.072
35-44	97 (37.0%)	147 (56.1%)	18(6.9%)			178 (62.7%)	59 (20.8%)	47 (16.5%)		
>54	9 (60.0%)	6(40.0%)	0(0.0%)			15 (93.7%)	0(0.0%)	1 (6.3%)		
Gender										
Male	46 (31.5%)	86 (58.9%)	14(9.6%)	0.246	0.884	87 (58.0%)	32 (21.3%)	31 (20.7%)	8.917	0.012
Female	148 (32.2%)	273 (59.5%)	38 (8.3%)			332 (68.2%)	99 (20.3%)	56 (11.5%)		
Position										
Physicians	55 (29.7%)	110 (59.5%)	20(10.8%)	I	<0.001	128 (61.8%)	45 (21.7%)	34 (16.5%)	33.800	<0.00
Nurses	80 (28.5%)	170 (60.5%)	31 (11.0%)			169 (58.3%)	76 (26.2%)	45 (15.5%)		
Others	71 (43.6%)	89 (54.6%)	3(1.8%)			136(84.0%)	13 (8.0%)	13 (8.0%)		
Direct interaction with patients										
Yes	162 (31.2%)	305 (58.8%)	52 (10.0%)	I	0.003	334 (60.8%)	128 (23.3%)	87 (15.8%)	31.147	<0.00
No	46 (39.7%)	68 (58.6%)	2 (1.7%)			102 (87.9%)	8 (7.6%)	6 (6.5%)		
Education background										
High school level or below	11 (27.5%)	29 (72.5%)	0(0.0%)	I	0.072	26 (76.4%)	4 (11.8%)	4 (11.8%)	I	0.40
Diploma level	79 (35.3%)	130 (58.0%)	15 (6.7%)			158 (67.8%)	48 (20.6%)	27 (11.6%)		
Baccalaureate degree or above	117 (31.7%)	213 (57.7%)	39 (10.6%)			251 (63.4%)	83 (20.9%)	62 (15.7%)		
Work year in hospital (years)										
$\leq 5$	54 (27.4%)	116 (58.9%)	27 (13.7%)	12.988	0.011	54 (27.4%)	116 (58.9%)	27 (13.7%)	12.988	0.01
6-10	48 (33.3%)	84 (58.3%)	12 (8.4%)			48 (33.3%)	84 (58.3%)	12 (8.4%)		
>10	106 (36.1%)	173 (58.8%)	15 (5.1%)			106 (36.1%)	173 (58.8%)	15 (5.1%)		
Hours of work per week (h)										
<40	18 (30.5%)	36~(61.0%)	5 (8.5%)	I	0.847	143 (70.1%)	42 (20.6%)	19 (9.3%)	6.066	0.192
40-100	183 (32.9%)	326 (58.6%)	47 (8.5%)			93 (64.1%)	27 (18.6%)	25 (17.3%)		
>100	6 (46.2%)	6 (46.2%)	1 (7.6%)			200 (63.3%)	67 (21.2%)	49 (15.5%)		

Table 5. Comparison for patient safety grade and number of events reported across characteristics

Note "-" there is at least one cell having expected count less than 5, only accurate P-values are reported.

safety culture perceptions of health care workers. The importance of this intervention is also acknowledged by the World Health Organization, which developed the Patient Safety Curriculum Guide to guide and support educators to implement educational programs in patient safety [30]. Moreover, to improve culture and safety, Pronovost et al. [31] developed the six-step Comprehensive Unit-Based Safety Program in which safety culture is the first step and education is the second [31]. According to a study performed by Chai and Wang [32] in 2014, nursing students' safety culture knowledge and awareness of adverse events increased after they had received safety culture education. Safety culture training was implemented for nursing managers from ten second-class comprehensive hospitals for 1 month by Xie [33]. The results showed that safety culture training was effective in enhancing perceptions of patient safety culture among nursing managers of the second-class comprehensive hospitals and reducing the incidence of adverse events. Therefore patient safety culture education and training should be implemented regularly for health care workers in county hospitals. According to previous studies, a program that is unit-based, case-based, and a combination of theory and clinical practice would have a better effect [34]. Meanwhile, use of the HSOPSC to measure safety culture before and after intervention and collection of feedback are also indispensable.

### Need to build a nonpunitive culture, and perfect the event reporting system

The area of adverse event reporting performed poorly in most of the previous studies on patient safety culture in China [19, 28]. The positive response rate for the frequency of event reporting in this study was 43.0%, and 60.6% of the respondents had not reported at least one adverse event or near-miss event in the previous 12 months, while the proportions in Japan were 68.0% and 36.0% respectively [22]. The following reasons may explain the unreported events. Firstly, with poor perceptions of patient safety and patient safety culture (positive response rate for overall perceptions of safety of 45.0%), health care workers in this hospital were not sensitive to adverse events, which would lead them to be unconscious of the importance and necessity of adverse event reporting.

Secondly, absence of a nonpunitive culture in this hospital should also contribute to unreported events. The positive

response rate for nonpunitive response to error in this study was the lowest of the 12 dimensions (15.7%), and this means that this county hospital pays more attention to punishing health care workers than the error itself when an error happens. According to the culture of the hospital, we conjectured that adverse events were considered as a result of incompetent performance of the health care workers involved. So, because of concern about performance appraisal, health care workers would not report adverse events proactively, especially an error that was caught and corrected before affecting the patient, and that has no potential to harm the patient, and that could harm the patient but does not. A penalty culture and a blaming culture are popular in the health care system. Surprisingly, some researchers have even thought that punishment should be intensified to warn physicians to make no errors [35]. By using the Safety Attitudes Questionnaire, Li et al. [36] surveyed 54 public hospitals in the central and western regions of China. The scores for "fear of blame and punishment" and "fear of shame" were among the lowest scores [37]. A survey performed by Hao [29] in a county hospital in Beijing also indicated the area "nonpunitive response to error" as needing improvement. Similar results were obtained in Japan, the United States, and Iran. In 1999 the Institute of Medicine pointed out that a significant percentage of errors in health care are caused by the system rather than individuals [3]. Studies had already confirmed that after removal of the punishment mechanism, a significant increase in the rate of errors in health care was reported [38]. In conclusion, forming a nonpunitive culture in a hospital is essential to promote event reporting and safety culture.

Thirdly, the medical adverse event reporting system of this county hospital needs to be improved. The county hospital had set up a medical/accident reporting system and a management system for nursing adverse events, and the medical service is responsible for implementing the systems. Medical disputes refer to disputes arising from medical treatment, between medical institutions and patients or close relatives, and resulting from different perceptions of treatment plans and outcomes. "Major medical accident" and "critical medical negligence" are defined by the National Health and Family Planning Commission of the People's Republic of China as an event that happened when a health care worker was in violation of medical and health management laws or rules, and



caused injury to patient. These rules resulted in errors that did not cause patients to be dissatisfied or that were not defined as fatal errors in health care being ignored. Measures to encourage physicians to report errors proactively were also missed. In addition, the manager of the medical service may not be fully aware of learning from errors, so adequate feedback of errors was also missed, needless to say collected and systematically analyzed errors, which could be used to identify hidden hazards for improving patient safety. A possible explanation for the high rates of adverse events or near-miss events is that all Japanese hospitals established an in-house, legally binding event reporting system in 2002 [22]. Britain and the United States have also established a nationwide adverse event reporting system and root cause analysis of adverse events [39].

Hence building a universal, unobstructed, nonpunitive, complete reported adverse events analysis and feedback system, where health care workers report errors without fear of punishment and can learn from errors, is highly recommended and essential.

It is important to note that although an incident reporting system and root cause analysis are promising approaches to improve patient safety, there are limitations with them, such as lack of proper taxonomy and two-way engagement in the incident reporting system, questionable quality, and unsatisfactory production of risk controls in root cause analysis. So more professional investigation, learning, and sharing within and across organizations should also be taken into account in the process of establishing an incident reporting system and root cause analysis [40, 41]. In addition, some researchers have suggested that we should learn from everyday clinical work instead of learning from incidents only, while the former can motivate staff to contribute to improving patient safety [42, 43].

## Implementing different interventions for different groups

The results of multivariate regression analysis showed that physicians had lower scores in many dimensions (overall perceptions of safety, supervisor/manager expectations and actions promoting safety, hospital handoffs and transitions, hospital management support for patient safety, and nonpunitive response to error) and overall mean score of patient safety culture, which is consistent with several previous studies [44]. The possible reasons are as follows: First, nurses had contact with patients more frequently than physicians, which led them to identify patient safety problems more easily, and at the same time they gained more experience. Second, the nursing department manager paid more attention to patient safety education, related regulations, and evaluation of patient safety culture than physicians. Third, a review by Mao et al. [13] showed that more attention to patient safety had been paid in the nursing field than in other medical fields in China in the previous 10 years, including patient safety culture assessment, assessment tool development, and interventions such as education and manager walkaround [13]. All of these indicate that interventions should be implemented with more focus on physicians, especially in safety culture education and management support.

Our findings also show that respondents who worked longer had lower scores in several dimensions (staffing, nonpunitive response to error, and communication openness), which is contrary to the findings of previous studies [45]. A possible reason is that health care workers who work longer are more experienced, with more critical thinking, and have greater awareness of patient safety culture. Taking the opinions of these staff members into account when interventions are being implemented may be a good idea.

### Limitation

There are several limitations in our study. First, as quantitative research, a questionnaire survey cannot fully assess safety culture for it is a multicultural, comprehensive concept. More qualitative research is encouraged. Second, 932 staff members in only one county hospital were surveyed, and safety culture needs to be surveyed in more county hospitals. Third, this study is a cross-sectional study, so the influencing factors need to be verified in research on interventions for improvement of patient safety culture.

### Conclusion

According to our survey, the overall patient safety and patient safety culture of this county hospital need to be improved, especially in areas of potential for improvement such as "overall perceptions of safety," "frequency of event reporting," "communication openness," "staffing," and "nonpunitive CHINAFam Med Com Health: first published as 10.15212/FMCH.2017.0137 on 1 December 2017. Downloaded from http://fmch.bmj.com/ on February 7, 2023 by guest. Protected by copyright

response to error." The area of strength is "teamwork across hospital units," which the hospital should maintain. According to the multivariate regression analysis results, we recommend that a nonpunitive culture should be developed and a smooth, perfect event reporting system should be established. In addition, patient safety and patient safety culture are also important and essential to health care workers in this county hospital, especially to physicians. A further study should be performed on interventions for improving safety culture.

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### **Conflict of interest**

The authors declare no conflict of interest.

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### **Author contributions**

Xingxing Zhao analyzed the data and drafted the manuscript.

Weiwei Liu led to the design, organization, implementation and data collection of the study.

Yuanyuan Wang guided statistical analysis and article modifications.

Li Zhang carried out the data collection in field.

All authors read and approved the final manuscript.

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